

Attorney Docket No. 01634/LH

**IN THE UNITED STATES PATENT  
AND TRADEMARK OFFICE**

Applicant(s): K. MITANI et al

Serial No. :

Filed : Herewith

For : GLASS SUBSTRATE FOR  
INFORMATION RECORDING  
MEDIA AND MANUFAC-  
TURING METHOD THEREOF

Art Unit :  
Examiner :

Asst. Commissioner for Patents  
Washington, D.C. 20231

**PRELIMINARY AMENDMENT**

S I R :

Please amend the claims as follows:

11. **(amended)** A glass substrate for an information recording medium manufactured using the method claimed in claim 1, wherein a bearing height EH04 of at least one surface of the glass substrate at which a contact ratio is 0.4% as measured using an atomic force microscope is in a range of 2 to 7 $\mu$ m.

12. **(amended)** A glass substrate for an information recording medium manufactured using the method claimed in claim 1, wherein a bearing height BH01 of at least one surface of the glass substrate at which a contact ratio is 0.1% as measured using an atomic force microscope is in a range of 2 to 10 $\mu$ m.

Express Mail Mailing Label  
No.: EL 874 117 051 US

Date of Deposit: October 9, 2001

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Asst. Commissioner for Patents, Washington, D.C. 20231

*Barbara Villani*  
Barbara Villani

In the event that this Paper is late filed, and the necessary petition for extension of time is not filed concurrently herewith, please consider this as a Petition for the requisite extension of time, and to the extent not tendered by check attached hereto, authorization to charge the extension fee, or any other fee required in connection with this Paper, to Account No. 06-1378.

*pre-amend*  
*2/a*

*3-15-02*

*g*